

BRANTAM FINANCIAL SERVICES HOLDINGS (PTY) LTD

Authorised Financial Services Provider, License No. 544

DISCLOSURES IN TERMS OF THE FINANCIAL ADVISORY & INTERMEDIARY SERVICES ACT (FAIS)

BRANTAM FINANCIAL SERVICES HOLDINGS (PTY) LTD DETAILS:

POSTAL ADDRESS:

P O BOX 41110
CRAIGHALL
2024

PHYSICAL ADDRESS:

12 MONTROSE AVENUE
CRAIGHALL PARK
2194

FINANCIAL ADVISORS:

KEY INDIVIDUALS: ROBERT STANLEY BARTHOLOMEW
DEREK ROY SUMPTION
PAUL MANUEL ARGENT
REPRESENTATIVE: JARED LEE BARTHOLOMEW

LEGAL STATUS AND CONTRACTUAL RELATIONSHIP:

The intermediary is an independent financial advisor and is paid for their services in the form of fees. The company does not hold any shares as issued by any insurers or investment houses, and does not receive more than 30% of total fees and remuneration from any one asset management company as received in the previous calendar year.

LICENCE CATEGORIES:

CATEGORY DESCRIPTION	ADVICE	INTERMEDIARY
Category 1		
Long-Term Insurance: Category A	Yes	Yes
Long-Term Insurance: Category B	Yes	Yes
Long-Term Insurance: Category C	Yes	Yes
Retail Pension Benefits	Yes	Yes
Pension Fund Benefits	Yes	Yes
Participatory Interests in Collective Investment Schemes (CIS)	Yes	Yes
Deposits defined in the Banks Act – Exceeding 12 months	No	No
Deposits defined in the Banks Act – 12 months or less	No	No
Friendly Society Benefits	No	No

PROFESSIONAL INDEMNITY INSURANCE:

The Company, Brantam Financial Services Holdings (Pty) Ltd, does hold self insured indemnity insurance.

PRODUCT SUPPLIER DETAILS:

The intermediaries are Authorised and accredited to give advice on products/services as provided by the following companies:

INVESTEC ASSURANCE LTD (a member of the Investec Group)	Reg. No. 1941/014478/06
PRIME COLLECTIVE INVESTMENT SCHEMES MANAGEMENT COMPANY (PTY) LTD	Reg. No.2005/017098/07
PSG FUTURE WEALTH (Ex M3 Capital Ltd)	Reg. No. 1999/010087/06
GLACIER FINANCIAL SOLUTIONS (PTY) LTD	Reg. No. 1999/025360/07
MOMENTUM WEALTH	Reg. No. 1904/002186/06

COMPLIANCE OFFICER DETAILS:

Dale Nussey & Associates – Compliance Practice No. 3496

Physical Address:

14 Notwani Road
Emmarentia
2195

Postal Address:

14 Notwani Road
Emmarentia
2195

Telephone No.: 011-322-4752
Facsimile No.: 011-322-4515
E-Mail Address: dnussey@gt.co.za

To comply with the Financial Services Board (FSB) regulations, would you please so kind as to indicate your receipt of this disclosure document, together with the enclosed BRANTAM WEALTH MANAGEMENT document, all in terms of the Financial Advisory & Intermediary Services Act (FAIS), and your agreement to our meeting by signing this copy and returning same to Brantam Financial Services Holdings (Pty) Ltd.

CLIENT SIGNATURE:.....

DATE:.....